

Under the Paperwork Reduction Project of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

## Sheet

1

of

1

**Complete if Known**

Application Number

09/456.249

Filing Date

December 7, 1999

**First Named Inventor**

Darryl RUBIN

*Art Unit*

$$21 \overline{) 62627}$$

**Examiner Name**

Almari Yuan Shieh

Attorney Docket Number

003797.85089

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

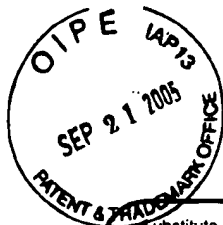
Examiner  
Signature

Date  
- Considered

1/20/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw lines through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional). \*\* See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.91 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for improvement should be sent to the Chief Information Officer, USPTO, P.O. Box 1450, Alexandria, VA 22313-1450. Do NOT SEND FEE OR COMPLETED FORMS TO THIS ADDRESS. Send To: [CustomerService.USPTO@USPTO.GOV](mailto:CustomerService.USPTO@USPTO.GOV), P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTC-9199 and select option 2.**



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/456,249
Filing Date	December 7, 1999
First Named Inventor	Darryl RUBIN
Art Unit	2478-2627
Examiner Name	Almari Yuan Shichu
Attorney Docket Number	003797.85089

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
✓		US- 5,237,648	08/1993	Mills, et al.	
✓		US- 5,761,683	06/1998	LOGAN, et al.	
✓		US- 5,786,814	07/1998	Moran, et al.	
✓		US- 5,923,326	07/1999	Bittinger, et al.	
✓		US- 5,924,104	07/1999	EARL	
✓		US- 6,025,841	02/2000	Finkelstein, et al.	
✓		US- 6,141,007	10/2000	Lebling, et al.	
✓		US- 6,151,622	11/2000	FRAENKEL, et al.	
✓		US- 6,320,169	11/2001	Clothier, Brian L.	
✓		US- 6,331,866	12/2001	Eisenberg, Peter M.	
✓		US- 6,437,807	08/2002	Berquist, et al.	
✓		US- 6,549,878	04/2003	Lowry, et al.	
✓		US- 6,662,310	12/2003	Lopez, et al.	
✓		US-6,772,139	08/2004	SMITH	
✓		US-6,788,316	09/2004	MA, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
✓		EP 0 890 926 A1	01/1999	Ishikawa		

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
✓		C. Marshall, "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140.	
✓		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5.	
✓		D. Munyan, "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards." 09/1998, <a href="http://www.futureprint.kent.edu/articles/munyan0.1.htm">http://www.futureprint.kent.edu/articles/munyan0.1.htm</a> , pp. 1-7.	
✓		PhotoImpact 3.0, Ulead Systems Inc., 1996.	

Examiner  
SignatureDate  
Considered

1/20/06

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.